

06/18/01  
11055 U.S. P.

06-19-01

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Title of Invention

Method for Creating an Embedded Database in a Spreadsheet

Named Inventor(s)

Kevin F. Browne, Erik V. Schwiebert,  
Michael C. Connolly and Geoffrey M. Price

Attorney Docket

44431/233645

Express Mail Label No.

EL670013081US

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims Small Entity status.
3. ☒ Specification, Claims, and Abstract Total Pages 44
4. ☒ Drawings Total Sheets 13
5. Oath or Declaration Total Pages 4
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
    - (i) ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Microfiche Computer Program (Appendix)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies
8. ☒ Assignment
  - a. ☒ Assignment Papers (cover sheet & document(s))
  - b. ☐ Assignment is of record in parent application No. \_\_\_\_\_
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney by assignee
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS) PTO-1449  
☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
15. ☒ Other: Request and Certification for Nonpublication Under 35 U.S.C. 122(b)(2)(B)(i)

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Recite complete dependency back to first parent application: \_\_\_\_\_

17. CORRESPONDENCE ADDRESS:

☒ Customer Number or Bar Code Label:



23370

PATENT TRADEMARK OFFICE

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By:   
John M. Brisk - Reg. No. 44,562  
Date: June 18, 2001  
Telephone: 404-815-6500  
Facsimile: 404-815-6555

11055 U.S. P.  
06/18/01

**FEE TRANSMITTAL**Attorney Docket No. **44431/233645**

\* This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Kevin F. Browne, Erik V. Schwiebert, Michael C. Connolly and Geoffrey M. Price**  
Filing Date: **June 18, 2001**  
Title: **Method for Creating an Embedded Database in a Spreadsheet**

The filing fee is calculated as shown below:

**1. FILING FEE:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$355		\$710	\$710
<input type="checkbox"/> DESIGN FILING FEE	\$160		\$320	
<input type="checkbox"/> PLANT FILING FEE	\$245		\$490	
<input type="checkbox"/> REISSUE FILING FEE	\$355		\$710	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75		\$150	
SUBTOTAL (1)		\$		\$710

**2. CLAIMS:**

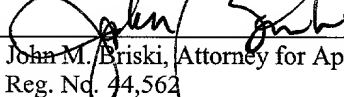
SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	17 - 20 =	0	x 9 =		x 18 =	
INDEP. CLAIMS	3 - 3 =	0	x 40 =		x 80 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
SUBTOTAL (2)				\$		\$ 0

**3. ADDITIONAL FEES:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		\$

**TOTAL FILING FEES: \$710.00**A check is enclosed for the total amount: **\$710.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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Date: June 18, 2001

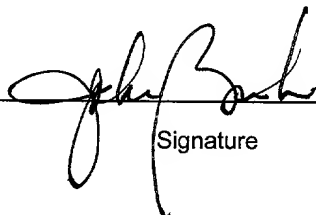
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Kevin F. Browne
	Title	Method for Creating an Embedded Database in a Spreadsheet	
	Atty Docket Number		44431/233645

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 18, 2001

Date

  
Signature

John M. Briski  
Reg. No. 44,562

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.